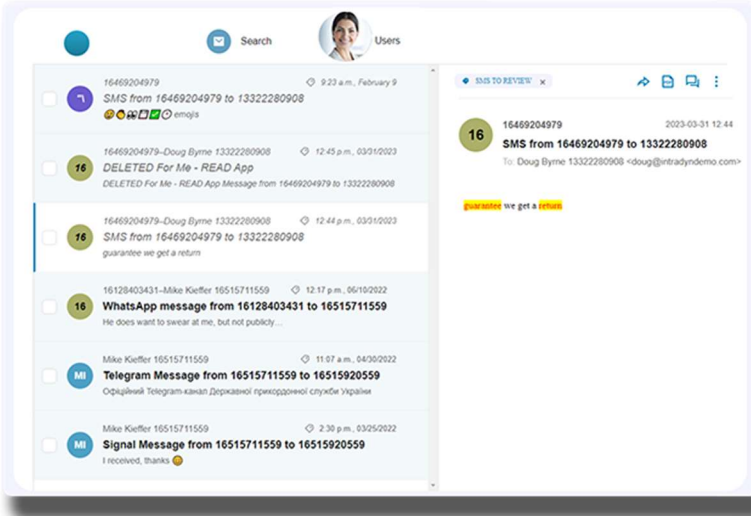


SEC and FINRA Compliant Text Message Archiving



Comply with Evolving Regulations

Mobile lets you deepen client relationships and grow revenue but the thought of having to sift through employees' personal texts just to monitor client interaction causes many CCOs to ban mobile.

Therefore, you need Intradyn, so you can allow mobile and supervise "business" texts same way you monitor "business email" and not employee's personal email.

Preserve Mobile Communication

Intradyn captures mobile content in real time and securely stores it in a centralized archive. All SMS/MMS/, RCS, WhatsApp and text messages – including metadata – are saved, categorized and indexed for fast retrieval.

FINRA: *"...firms must retain records of text messaging apps or chat services"*

SEC: *"...must archive text/SMS messaging and apps..."*

Email Archive Agnostic – leverage your existing Archive & lexicon or use Intradyn's Archive

View All Text Message Metadata & Content

Review mobile attachments the same as you would an email attachment and add tags, apply legal holds, and redact content to support eDiscovery efforts using our one-click detail drill-down capability.

Search, Retrieve & Produce

Intradyn enables you to produce mobile communications in your choice of native or standard non-native format, making it easy to comply with industry regulations, including SEC, FINRA, MiFID II, CFTC, FCA and more.

Flexible Archiving Options

Intradyn's flexible archiving options enable us to support any ownership model, including bring your own device, and our solution keeps business separate from personal, so you don't have to sift through employee personal texts for supervision.

Compatible with All Major Carriers & Devices

Verizon, AT&T, T-Mobile and more – Intradyn supports all major mobile service providers. Our archiving software is also compatible with all mobile devices, including Apple, Google, LG and Samsung products.

Choose any Combination of Mobile Archiving

Enterprise Number Archiver (For BYOD)

Network Archiver (For Corporate Issued Phones)

WhatsApp Archiver



Two Approaches to Meet Compliance

Network Level Archiving

Messages Captured from Verizon & AT&T



Requires devices to be issued by the business and billing on a corporate plan*.

Network Level Archiving let's you capture all SMS/MMS/ WhatsApp activity automatically. The mobile carrier sends a copy of all messages to your Intradyn Archive where you can search, review, tag and export.

Supports smart phones and legacy phones. No app necessary.

**All phones must be on "Corporate Billing Plan"*

Enterprise Number Archiving (ENA)

On Device App controlled by Corporate



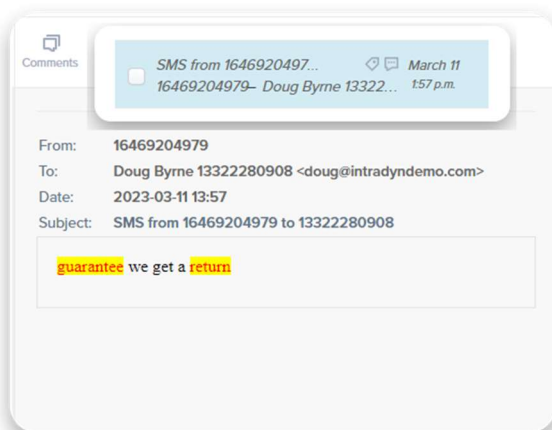
Keeping business and personal separate. Enterprise Number Archiving (ENA) is perfect if your employees use their own cell phone (**BYOD – Bring Your Own Device**).

ENA is an app running on the cell phone and has it's own cell number that the business controls. All messages (text and

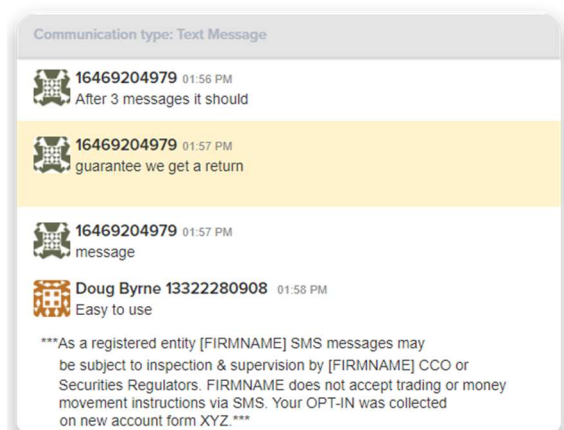
MMS) sent and received from the ENA cell phone number are archived and searchable.

Eliminates the need for employees to carry 2 phones and saves the business money as you do not need to provide dedicated phones or mobile plans for business use.

Search & View Individual Messages



Conversation View for Full Context

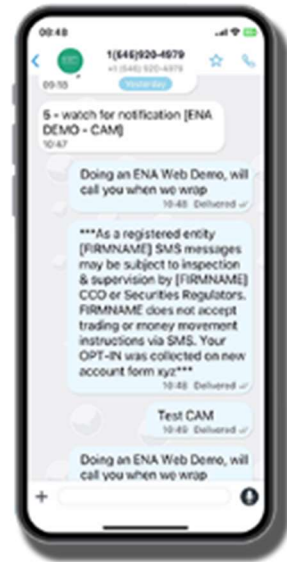
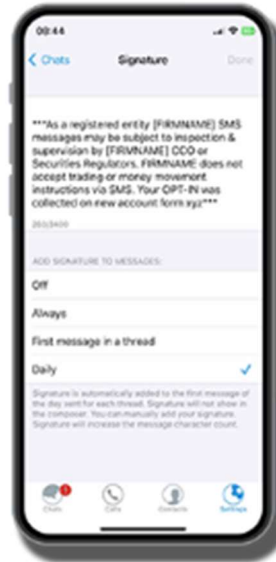


Enterprise Number Archiving (ENA) Interface Screen Shots



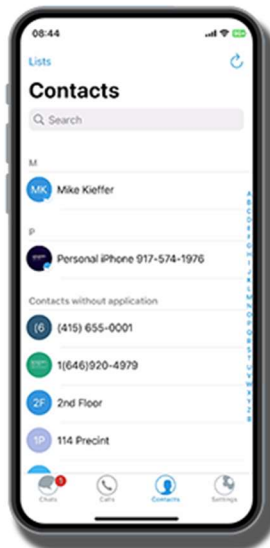
Notifications

Users will get notifications whenever new messages arrive in the ENA app (see top icon with dot notification).



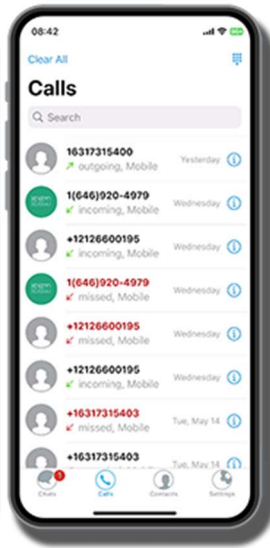
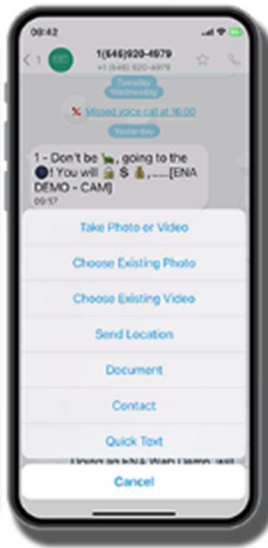
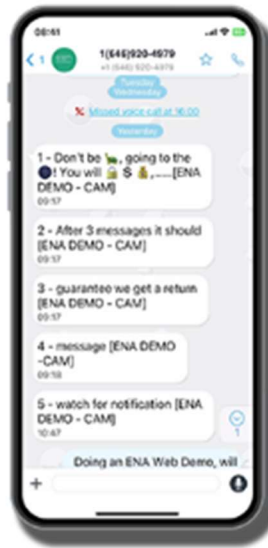
Firm Controlled Disclaimer Text

You can create a custom disclaimer to be appended to messages sent via ENA. You can control the verbiage and frequency of your disclaimer.



Access All Contacts

ENA allows user to access contacts that are stored on their personal phone, Corporate Contacts (from say Office 365 Local Contacts or Global Address List) as well all other Firm ENA users.



Full Featured SMS/MMS Features

ENA allows you to send Text, Pictures even Documents and has all the features you are used to with standard text message apps, like customized quick text to be sent with a click!